

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10693607
Filing Date		2003-10-27
First Named Inventor	Brian E. LeGette, et al	
Art Unit	3765	
Examiner Name	Andrew W. Sutton	
Attorney Docket Number	0100.0020C1	

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5303426		1994-04-19	Jones	
	2	5625903		1997-05-06	Schultz et al	
	3	5953434		1999-09-14	Boydén	
	4	6580800		2003-06-17	Yamasaki et al	
	5	7072483	B2	2006-07-04	Lenhard-Backhaus	
	6	6920645		2005-07-26	LeGette et al	

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U.S. PATENT APPLICATION PUBLICATIONS

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ^{2,j}	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	2291138	CN		1998-09-16			<input type="checkbox"/>
	2	1363524	FR		1964-01-20	Silvain		<input type="checkbox"/>
	3	2538204	FR	A1	1982-12-20	Decrozant		<input type="checkbox"/>
	4	2518709	DE	A1	1976-10-28	Gehorschutzer		<input type="checkbox"/>
	5	29800873	DE	U1	1998-04-23	UVEX Sports GmbH & Co.		<input type="checkbox"/>
	6	29812852	DE	U1	1999-03-25	Clasing		<input type="checkbox"/>
	7	3231218	DE	A1	1984-02-23	Wanner et al		<input type="checkbox"/>
	8	4422767	DE	A1	1996-01-11	Optac GmbH		<input type="checkbox"/>

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Art Unit	3766	
Examiner Name	Andrew W. Sutton	
Attorney Docket Number	0100.0020C1	

9	641720	JP	1994-06-03		<input checked="" type="checkbox"/>
10	6029141	JP	1965-02-27		<input type="checkbox"/>
11	6221018	JP	1967-02-07		<input type="checkbox"/>
12	6320232	JP	1968-06-06		<input type="checkbox"/>
13	5615616	JP	1963-01-31		<input type="checkbox"/>
14	56128615	JP	1964-06-31		<input type="checkbox"/>
15	4719024	JP	1972-11-02		<input type="checkbox"/>
16	4875626	JP	1973-09-19		<input type="checkbox"/>
17	53143627	JP	1978-11-13		<input type="checkbox"/>
18	5711884	JP	1982-01-21		<input type="checkbox"/>
19	58136484	JP	1983-09-17		<input type="checkbox"/>

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Art Unit	3765	
Examiner Name	Andrew W. Sutton	
Attorney Docket Number	0100.0020C1	

20	10079984	JP		1998-03-24	Googonzu Group, Inc.		<input type="checkbox"/>
21	20357405	KR		2004-03-19	Park, Chan-Hee		<input checked="" type="checkbox"/>
22	20357406	KR		2004-03-19	Park, Chan-Hee		<input checked="" type="checkbox"/>
23	294003	CH		1954-01-04	Trieb		<input type="checkbox"/>
24							<input type="checkbox"/>
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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	1999-2000 Catalog, "Accessory Goods", Nitty Company, Ltd., 4 pages	<input type="checkbox"/>
	2	Advertisement: The "PODZ" ear warming eye glass retainer, Shred Alert Products of Hood River, Oregon, 5 pages	<input type="checkbox"/>
	3	PTO Reference, "History of the United States Patent Office", The Patent Office Pony, A History of the Early Patent Office, KENNETH W. BOBYNS, 1994, 47 pages	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Attorney Docket Number	0100.0020C1

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2003 Catalog, "Join the Polar Fusion Revolution, Revolutionary Ear Warmers", Polar Fusion, LLC, 2 pages



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EXAMINER SIGNATURE

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10693807
Filing Date	2003-10-27
First Named Inventor	Brian E. LeGetts, et al
Art Unit	3765
Examiner Name	Andrew W. Sutton
Attorney Docket Number	0100.0020C1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Thomas W. Lynch/	Date (YYYY-MM-DD)	2007-01-12
Name/Print	Thomas W. Lynch	Registration Number	42820

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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